

## **RGB Capital Group – Privacy Policy**

RGB Capital Group LLC respects the privacy of all of our current, past and prospective Clients. RGB Capital Group recognizes that you entrust us with important personal information and we take seriously our responsibilities in protecting and safe-guarding this information. Procedures has have been designed and adopted to maintain and secure Client nonpublic personal information from inappropriate disclosure to third parties. State regulations require RGB Capital Group to inform Clients of this privacy policy.

### **Collection of Client Information**

RGB Capital Group collects nonpublic personal information from the following:

- Information, including name, address, social security number, drivers' license, and birthdate, provided by Client in the Brokerage application, Investment Advisory Agreements and other related documents or forms;
- Transaction activity in Client accounts including trading activity, deposit/withdrawal history and account balances; and
- Information from other third party sources that may be required in the creation and/or maintenance of your account.

### **How We Share Information About You**

RGB Capital Group may share nonpublic personal information about its prospective, existing or past Clients in order to provide services to Clients including sharing information with:

- National Financial Services LLC (NFS) or other custodians;
- Ceros Financial Services or other broker-dealers;
- Service providers who provide services at the direction of RGB Capital Group; and
- Government agencies, other regulatory bodies and law enforcement officials.

RGB Capital Group does not otherwise provide information about current, former and prospective Clients to outside firms, organization or individuals except at the Client's request.

### **How We Protect Your Information**

RGB Capital Group restricts access to personal information via physical, electronic and procedural controls to those who require this information in the course of providing our services to you. These controls are adapted, as necessary and appropriate, to meet changing requirements and advancements in technology.